CEQA for Water Quality Regulation and Planning

Steven H. Blum Senior Staff Counsel State Water Resources Control Board

California Environmental Quality Act

- Before CEQA Environmental Impacts did not play a part in Public Decisions
- Signed into Law in 1972 by Gov. Ronald Reagan
 believed CEQA was limited
- Came just after NEPA contained significant improvements
- California Supreme Court very early interpreted CEQA to provide the Maximum Environmental Protection consistent with the Statutory Language

CEQA's Intent

- Disclose potential environmental impacts of agency decisions to decision-makers and public
- Analyze and minimize environmental effects of projects before final approval
- Foster public involvement in governmental decision making
- Facilitate interagency coordination
- Identify and mitigate significant effects
- Improve decision-making

How CEQA Works

 A governmental process, not a permit
 Applies to public agency actions and approvals
 Requires avoidance or mitigation of impacts where "feasible"

 Assumes that all feasible environmental protection will result from strict adherence to procedures

Courts strictly enforce process

+ Gives agencies no new authority

CEQA Fundamentals

+CEQA applies to:

- Discretionary public agency actions that could result in a significant adverse change in the physical environment
- California agencies
 - +State and Local
 - + (But not Federal)
- Projects conducted by governmental agencies
 Permits by agencies for Private Projects
 California agencies acting on federal actions
 One project: one document

"Discretionary Actions"

 CEQA does not apply to Ministerial actions (Ministerial = Non-Discretionary) # "Discretionary action" requires the exercise of judgement or deliberation when the public agency or body decides to approve or disapprove a particular activity.

 Mixed decisions are treated as discretionary (Guidelines § 15357)

What is a "Project?"

Project" means:

the "whole of an action" that may cause either a direct physical change in the environment, or a reasonably foreseeable indirect physical change in the environment (no "piecemeal" please) Public agency projects Public agency grants, financing, loans +Issuance of permit, license, or entitlement

Lead and Responsible Agency

Water Board may be Lead or Responsible Agency for a Project

- +Lead Agency
 - + First through the process
 - + In charge of drafting documents and managing public process
- Responsible Agency
 - + Takes its action after lead agency completes its CEQA process
 - + lust accept documents of lead agency with a few exceptions

Process for Determining lead agency -- CEQA Guidelines §§15050, 15051

- + Always the project proponent if government agency project
- Generally City or County or general authority governmental agency
- First to Act = De Facto Lead

Levels of Analysis

Categorical Exemption

 Project is presumed to have no significant Impacts

Negative Declaration

- Checklist with analysis = initial study
- Initial study demonstrates that project will have no significant impacts
- Environmental Impact Report ("EIR")

EIR

 Required where "fair argument" demonstrates potential for one or more significant impacts

 If project proponent agrees to changes that reduce all impacts to insignificance, then Mitigated Neg Dec applies

 For each significant impact, must analyze mitigation, alternatives, cumulative and growthinducing impacts

 for remaining significant impacts, must make findings and statement of overriding considerations

CEQA and Water Boards

* "Normal" CEQA

 EIR, Negative Declaration or Categorical Exemption

 Applies to Water Rights Actions, Permitting and Enforcement

Planning

Certified Regulatory Program

State Water Resources Control Board

Water Rights -- "Normal" CEQA

- Water Quality
 - Both Federal (Clean Water Act) and State (Porter-Cologne)
 - Regulation -- "Normal" CEQA
 - Planning -- CEQA for Certified Regulatory Program

Grants and Loans -- "Normal" CEQA

Water Board "Projects"

Examples include:

- Waste Discharge Requirements (WDRs)
- Waivers of Waste Discharge Requirements
- General WDRs / NPDES Permits (NPDES Exempt from CEQA)
- 401 Certifications
- Cleanup and Abatement Orders
- Cease and Desist Orders
- Basin Plan amendment/TMDL (Certified Regulatory Program)
- Water Rights Permits

Water Quality Planning

 California is divided into nine hydrogeologic regions – each has a "Regional Board"; the Regional Boards are overseen by the "State Board"

- Each region has a water quality control plan known as a "Basin Plan"
- Every ground and surface water basin has a set of listed beneficial uses that must be protected

Must protect both actual uses and potential uses

 Basin Plans contain "water quality standards" that protect uses

+ Both numeric and narrative water quality objectives

Anti-degradation (maintenance of high-quality waters)

Planning Process

Regional Board adopts plans and amendments

- Lead Agency for CEQA
 - Scoping (public involvement), checklist, draft amendment and environmental documentation (staff report), public review (at least 45 days) and comments, responses to comments, and Board resolution
 - Process takes many months and sometimes as much as several years
- State Board must Approve
- Office of Administrative Law and USEPA must also approve portions

303(D) LIST

Clean Water Act §303(d) requires the Boards and USEPA to compile a list of all waters that do not meet standards Updated every two years Contains water body/pollutant combinations Requires a TMDL -- "Total Maximum Daily Load" -- for each listed water body Must achieve standards in a "reasonable time"

TMDLs

- For waters that do not meet standards, CWA
 §303(d) requires a TMDL -
 - Board must analyze all sources of offending pollutant both point and non-point sources
 - Loads (and wasteloads) allocated among sources
 - Reductions required to attain standards, including a margin of safety
 - Generally incorporated into the applicable Basin Plan through an amendment (aka "Planning")
 - State Law requires implementation plan

Water Quality Planning and CEQA

Certified Regulatory Program

- Applies to Water Quality Planning
 - TMDLs, Basin Plans and Policies for Water Quality Control (e.g. Inland Surface Water Plan, Ocean Plan)
- CEQA Guidelines Section 15250, 15251(g)
- Exempt from Formal Documents (EIR or Neg Dec)
- Subject to all other CEQA Requirements

Substitute Environmental Documentation

Originally believed this was "stripped-down" CEQA

- Courts have informed us otherwise
 - CDF -- e.g. Joy Road -- Recirculation & CEQA Process
 - City of Arcadia -- L.A. Trash TMDL
 - Economics ("Cost")
 - "Neg Dec" vs EIR-level analysis
 - L.A. River Metals -- Alternatives Analysis
 - What's Next?
 - Cumulative Impacts?
 - Growth-Inducing Impacts?
 - Project Description/Baseline?

Substitute Environmental Documentation

- Project documents constitute "substitute environmental documentation" (SED)
 - Draft Basin Plan Amendment or Policy
 - Checklist/environmental analysis
 - Must document all decisions regarding impacts (significant vs. insignificant)
 - Supporting Staff Report
 - + Analysis of Alternative methods of compliance and conclusions
 - Public Comments
 - Responses to Public Comments
 - ✦ Board Resolution adopting the BPA or Policy
 - + Findings regarding impacts (CEQA Guidelines §15091)
 - Statement of overriding considerations for each unmitigated impact (CEQA Guidelines §15093)

Alternative Methods of Compliance

Public Resources Code 21159

- Applies to agency actions that require pollution control equipment or set a performance standard or treatment requirement
- Requires agency to consider a reasonable range of foreseeable methods of compliance
- For each method, agency must consider impacts, mitigation, alternatives, costs and technical factors

Make CEQA Your Friend

CEQA is a powerful tool

- Helps regulators achieve their goals
- Helps project proponents achieve their goals
- Early consultation & planning -- lets everyone know as early in the process as possible about water quality requirements and impacts
- Thorough Public Participation -- "Sunshine"
- Full Disclosure of Impacts & Mitigation
- Do It Right -- Do It Once -- or take the risk & pay the consequences

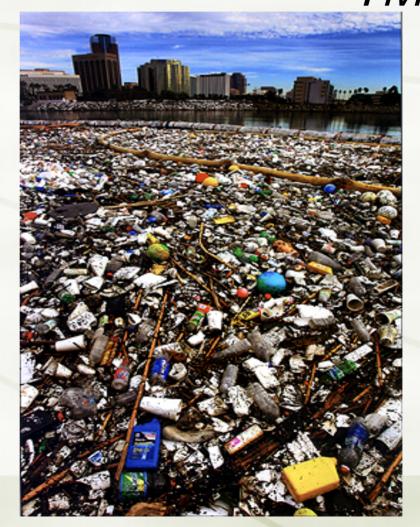
CEQA and NEPA

Comparison of NEPA and CEQA-

- CEQA is much more rigorous, mitigation based & has some substantive requirements -- NEPA is less rigorous, less enforceable, more idiosyncratic from agency to agency
- CEQA requires several types of analysis not required by NEPA (e.g.Cumulative impacts, Growth-Inducing Impacts)
- CEQA requires different circulation & review periods
- CEQA arguably contains substantive requirement to mitigate adverse impacts unless infeasible
- CEQA encourages joint CEQA/NEPA process

Case Study – L.A. River Trash TMDL

+L.A. River carries a surprising amount of trash to the Port of Long Beach



Trash

Trash violates
 basin plan
 because it
 significantly
 impairs many
 beneficial uses



Beneficial Uses Impaired by Trash

The impairments due to trash have negative effects on Beneficial Uses including:

+Contact and non-contact recreation

 Habitat uses -wildlife habitat, warmwater habitat, estuarine and marine habitat

 The area also supports rare, threatened or endangered species and spawning and early life development

Next Presentation

✦L.A. River Trash TMDL